

Ref. No.: MSMT–36065/2015

Prague, 27 January 2016

Czech Republic — Ministry of Education, Youth and Sports

**MANAGING AUTHORITY OF THE Operational Programme Research,
Development and Education**

announces

Call “Building Expert Capacities – Technology Transfer”

**for submission of grant applications to individual projects within
the Operational Programme Research, Development and Education**

The main objective of the Call is to support centres for the Technology transfer so they become a relevant partner to industrial research staff and an acknowledged part of the research and development system. The objective of this Call is to support the development of human resources in professional knowledge in centres for Technology transfer through the following grant activities.



EVROPSKÁ UNIE
Evropské strukturální a investiční fondy
Operační program Výzkum, vývoj a vzdělávání


MINISTERSTVO ŠKOLSTVÍ,
MLÁDEŽE A TĚLOVÝCHOVY

1. Call identification: BUILDING EXPERT CAPACITIES – TECHNOLOGY TRANSFER

- 1.1. Priority axis:** PA 2 – Development of universities and human resources for research and development
- 1.2. Investment priority:** IP 1 – Improving the quality and efficiency of, and access to, tertiary and equivalent education with a view to increasing participation and attainment levels, especially for disadvantaged groups
- 1.3. Specific objective:** SO 5 – Improving the conditions for education related to research and for the development of human resources in research and development
- 1.4. Call number:** 02_16_014
- 1.5. Type of supported operations/projects:** individual projects
- 1.6. Call type:** round-type complementary to OP EIC and OP PGP
- 1.7. Evaluation model:** single-round

2. TIME SCHEDULE

- 2.1. Date of publication of the Call on the website:** 17. 12. 2015
- 2.2. Date of announcement of the Call, i.e. date of accessibility of the grant application in IS KP14+:** 27. 1. 2016 from 10 a.m.¹
- 2.3. Start date of acceptance of grant applications:** 27. 1. 2016 from 10 a.m.²
- 2.4. End date of acceptance of grant applications:** 17. 3. 2016 up to 14:00.
- 2.5. Latest date of completion of physical implementation of the project:** 31. 10. 2022
- 2.6. Minimum project duration:** 24 months
- 2.7. Maximum project duration:** 48 months

3. FORM AND AMOUNT OF SUPPORT

- 3.1. Allocation for the Call:** CZK 450,000,000
- 3.2. Minimum amount of total eligible expenditure:** CZK 5,000,000
- 3.3. Maximum amount of total eligible expenditure:** CZK 40,000,000
- 3.4. Fund:** ESF

¹ In case when IS KP2014+ is made accessible for acceptance of grant applications sooner or later than the date stated, applicants will be informed about this fact on web pages of MEYS under the section for applicants and beneficiaries within OP Research, Development and Education (OP RDE)

² In case when IS KP2014+ is made accessible for acceptance of grant applications sooner or later than the date stated, applicants will be informed about this fact on web pages of MEYS under the section for applicants and beneficiaries within OP Research, Development and Education (OP RDE)

- 3.5. Mode of financing:** Ex-ante financing, ex-post financing in case of state organisation units.
- 3.6. Amount of the first advance payment:** maximum 25 % of the total the total eligible expenditure.
- 3.7. Scope of aid and conditions of co-financing – decomposition of financing sources:** Within this Call, the co-financing on part of the applicant / beneficiary is determined at the minimum level of 5 % from the total eligible expenditure of the project. In case of a state organization unit or a contributory organisation of a state organisation unit, the co-financing on the part of the applicant / beneficiary is 0 %. Individual decomposition of sources of financing according to the category of the region and according to the legal subjectivity of the applicant / beneficiary are mentioned in Chapter 8.1.5 of the Rules for Applicants and Beneficiaries – General section. The specification of this Call from the viewpoint of co-financing and stating the share of individual programme areas are mentioned in the Rules for Applicants and Beneficiaries - Specific section.
- Pro-rata** – the pro-rata ratio approved in the OP RDE text is 74% (less developed regions – MoRD) and 26% (more developed regions). In the case of the determination of the level of co-financing, it is the decision of the applicant as to what level of co-financing from their own resources will be applied within the presumed grant application. The minimum rate of co-financing is binding for the applicant / beneficiary.
- 3.8. Information on the conditions of state aid:** The granted funds do not have character of state aid within the meaning of Art. 107, paragraph 1 of The Treaty on the Functioning of the European Union. The aid will be provided for implementation of non-economic activities in accordance with Chapter 2.1.1 of the Framework for State aid for research and development and innovation (2014/C 198/01) (hereinafter referred to as the “Framework”).

4. ELIGIBLE APPLICANTS AND SPECIFICATION OF PARTNERSHIP

4.1. Eligible applicants

Entities complying with the definition of organisation for research and dissemination of knowledge under the point ee) paragraph 15 of the Communication from the Commission (EU) Framework for State aid for research and development and innovation (2014/C 198/01):

- State organisation units and contributory organisations of state organisation units.
- Public higher education institutions, research organisations,
- Private law subjects performing publicly beneficial activity,
- Other subjects.

Obligatory criterion:

- The applicant's registered office must be in the territory of the Czech Republic. Each applicant³ may submit only one grant application in this Call.

³ Each applicant as per ID number.

4.2. Specification of partnership

Partnership is not obligatory. Conditions of partnership are specified by the valid Rules for Applicants and Beneficiaries – General section.

Eligible partners:

Entities complying with the definition of organisation for research and dissemination of knowledge under the point ee) paragraph 15 of the Communication from the Commission (EU) Framework for State aid for research and development and innovation (2014/C 198/01):

- state organisation units and contributory organisations of state organisation units
- public higher education institutions, research organisations,
- private law subjects performing public beneficial activity,
- other subjects.

5. CALL OBJECTIVES

The main objective is to support centres of technology transfer so they become a relevant partner of research staff and industry staff and a recognised part of the R&D system. The objective is to support the development of human resources in professional knowledge in centres for technology transfer with the use of the following supported activities. This may concern the creation of new transfer technology centres (CTT) or the development of already functioning CTT.

5.1. Specific objective: Improving the conditions for education related to research and for the development of human resources in research and development

5.1.1. Description of supported activities:

Obligatory activities:

- a) Education of the staff of technology transfer team (TT) in the areas related to the Technology transfer and commercialisation, including development using practical experiences and skills.
 - In the case of a team in an already existing CTT, then for individual staff only the relevant courses / training will be granted that were not be attended by individual staff.
- b) Procedural setting of the technology transfer at the institution; in case of already functioning CTT then further development and making the procedural setting more effective.
- c) Project management – the description of the content of this activity can be found in the Rules for Applicants and Beneficiaries – General section; see Chapter 5.2.4.

Optional activities:

- d) Creation and development of CTT promotional activities for researchers in the organisation for research and the dissemination of knowledge (or for students in the organisation for research and dissemination of knowledge), including

research staff and other staff at the institution in the CTT processes and operations.

- e) Development of technology scouting including institutionalization and systematisation of this activity at the institution.
- f) Support or creation in case of absence, for the panel from the application field at the institutional level (for example, a council for commercialisation).
- g) Consultation (studies, market surveys) for the future appreciation of intellectual property and know-how of the institution for the project purposes.
- h) Creation and the implementation of concepts of alternative sources for financing the transfer of technologies; an alternative source is considered to be a source other than a public allocation source of financing.
- i) Creation in the case of absence or development of the information system for TT.
- j) CTT partnership with globally-recognized CTTs in the given industry, including cooperation with research organisations – joint workshops, exchange of knowledge, or cooperation in common projects for the technology transfer, including twinning.
- k) Building and development of CTT partnership with relevant partners from the application field through active participation in trade shows, exhibitions, roadshow or other business-to-business and business-to-client/customer activities, including marketing campaigns.
- l) Creation and development of CTT promotion activities in the application field.

5.1.2. Description of excluded activities:

- m) Proof-of-concept activity;
- n) Pre-seed activity;
- o) Creation and development of promotional activities for the public;
- p) Consulting for commercialisation of the actual research and development findings – legal and financial services (protection of intellectual property, registration of patent or industrial/ /utility model, work with potential investors,...) to ensure the commercialisation;
- q) Reconstructions and construction adjustments.

5.1.3. Target group: Staff in research organisations and higher education institutions, research staff in the private sector, public administration staff in the management and implementation of R&D, including staff participating in the implementation of RIS3

5.1.4. Information on initial / related synergic Calls (only if it concerns synergy)⁴: irrelevant

⁴ For related Calls, information about projects within the initial synergy of the Call will be accessible for applicants in the IS KP14+. Managing authority that announces the related Call must include it into the time schedule of Calls.

5.1.5. Connection to strategies: Compliance of the project with the National research and innovation strategy for the intelligent specialization of the Czech Republic (National RIS3 strategy) and/or the regional annexes – the Call contributes to the key area of changes C, in particular C.1.2 ensuring internal and expert capacities for the Technology transfer from research organisations into practice as described in the National RIS3 strategy.

5.1.6. Sustainability: irrelevant

5.1.7. Indicators: see Annex No. 1 of the Call

6. TERRITORIAL FOCUS

6.1. Eligible place of the operation implementation impact or type of territory as per the National Document for Territorial Dimension

The place of impact of the implementation is the territory of the Czech Republic.

6.2. Eligible place of implementation

Projects may also be implemented outside the territory of the Czech Republic.

7. ELIGIBILITY OF EXPENDITURE⁵

7.1. Objective eligibility (eligible expenditure): Expenditure must be spent in relation to the supported project and the supported activities of the Call. Detailed information can be found in the Rules for Applicants and Beneficiaries - Specific section, Chapters 8.7. and 8.8.

7.2. Time eligibility (from when, to when, if reported expenditure is eligible): Time eligibility is specified in the Rules for Applicants and Beneficiaries – General section. At the same time applies that expenditure is time eligible from 1. 1. 2016. Grant cannot be awarded, if the physical aspect of the project had been completed or fully implemented before the applicant submitted the grant application disregarding whether the applicant effected all associated payments or not.

7.3. Monitoring period: 6 months

7.4. Method of reporting of total eligible expenditure: a simplified form of reporting expenditure will be used within this Call. A part of expenditure within this Call will be reported in form of indirect costs.. Specific flat rates depending on the level of direct eligible expenditures can be found in the Rules for the Applicants and Beneficiaries – General section, Chapter 8.7.1.1.

7.5. Limits of the budget chapters

7.5.1 The purchase of services budget item - the limit is set at a maximum of 49 % of the total eligible expenditure.

7.5.2 Expenditure for direct activities – investment – maximum of 20 % from the budget for total eligible expenditure.

7.5.3 Item in the budget for travel expenditure – foreign – the limit is set at a maximum 15% of the total eligible project expenditure.

⁵ Detailed rules for the eligibility of expenditures will be mentioned in the form of a reference to the related documentation - Rules for Applicants and Beneficiaries.

8. FORMAL REQUIREMENTS OF THE GRANT APPLICATION

The list of relevant annexes to the grant application including availability of sample documents is stated in the associated documentation of the Call, in particular in the Rules for Applicants and Beneficiaries – the Specific section. The Managing Authority of the Operational Programme Research, Development and Education is authorized to update the Sample Annexes to the grant application during the course of this Call. The current version of these documents is always available at the address: <http://www.msmt.cz/strukturalni-fondy-1/vzory-dokumentu-op-vvv>.

The update of sample Annexes to the grant application does not constitute an amendment to this Call. Submission of the grant application is performed through IS KP14+, link: <https://mseu.mssf.cz/index.aspx>. Grant application is submitted in Czech language, English version including relevant annexes will be documented in form of an annex.

The grant application must be provided with electronic signature of the applicant's statutory body or of a person authorized to sign under a power of attorney.

8.1. Consultation to the preparation of the grant application: Before submission of a grant application, the applicants may consult the wording of the grant application with representatives of the Managing Authority – contact person.

- Contact persons with respect to inquiries concerning the grant application:
Ing. Irena Havlová
- E-mail: irena.havlova@msmt.cz
- Telephone: 234 814 597

Notice for applicants

- **Telephone consultations** shall be provided only on Mondays and Thursdays, from 9.00am to 12.00pm and from 1.00pm to 3.00pm.
- **E-mail queries** shall be dealt with regularly, no later than 5 working days from receipt of the e-mail.
- Personal Consultation can be performed only on previously agreed date.
- We recommend to send an e-mail to the contact person for the Call containing the project proposal/grant application or at least to specify what will be the subject-matter of the Consultation at the latest 5 business days before Consultation.
- The subject of the consultation shall not be an inspection of the correctness of the filled out grant application; the applicant is fully responsible for this.

Dates of seminars for applicants: published on the website under the link: <http://www.msmt.cz/strukturalni-fondy-1/seminare> .

8.2. Possibility to change the Call

Changes of formal nature can be performed for announced Calls. Change in the text of the Call in the area of call objectives is possible only for the purpose of wording clarification. The substance of the call objectives must not be changed. The Call and the documentation, which represents part of Call, can be changed in cases forced due to amendment in legal regulations or change in the methodical environment. In case of changes caused by a change in the methodical environment discrimination or worsening of applicant's position must not occur.

Changes in the Call will be published on web sites of MEYS and announced Calls under the link: <http://www.msmt.cz/strukturalni-fondy-1/vyhlase-ne-vyzvy>.

In case of round-type Calls it is not permitted to perform follow-up changes in the conditions for grant award (if they are not caused due to legal regulations or change in the methodical environment):

- cancel the Call,
- reduce allocation for the Call,
- change the maximum and minimum amount of the total eligible project expenditure,
- change the aid intensity,
- change the Call objectives,⁶
- change the definition of eligible applicant,
- shift of the latest date for completion of physical operation implementation to an earlier date,
- shift of the end date for accepting grant applications to an earlier date,
- change the criteria for evaluation and selection of projects.

8.3. Annexes to the Call

- a) Annex no. 1 Indicators
- b) Annex no. 2 Evaluation criteria

8.4. Other documents to the Call

- a) Sample of the legal act on grant award / transfer of aid is published under the link: <http://www.msmt.cz/strukturalni-fondy-1/vzory-dokumentu-op-vvv>.
- b) Rules for Applicants and Beneficiaries - General section - are published under the link: <http://www.msmt.cz/strukturalni-fondy-1/pravidla-pro-zadatele-a-prijemce>
- c) Rules for Applicants and Beneficiaries - Specific section are published under the link: <http://www.msmt.cz/strukturalni-fondy-1/pravidla-pro-zadatele-a-prijemce>

8.5. Other provisions

The aid is provided in the form of a grant. In the case that the justified applicant is a state organisation unit, the grant is provided in the form of a direct assignment of financial funds. No legal title is attached to the grant award. The granting authority will make final decision on the final grant amount. The Managing Authority reserves the right to suspend the Call. Sanctions for non-observance of the conditions of the Call and Operational Programme will be determined in the legal act on grant award / transfer of aid.

⁶ Change in the text of the Call in the area of Call objectives is possible for the purpose of wording clarification. The substance of the Call objectives must not be changed.